

## Content

Title : **0** Procedures for Applying for ISO 9001 Co-registration via Foreign Cooperative Certification Bodies **Ch**

Date : 2021.03.24

Legislative : 1. Adopted and promulgated by the Bureau of Standards, Metrology and Inspection, Ministry of Economic Affairs on 11 January 2012.  
2. Abolished on 24 March 2021.

Content : 1. These procedures have been established for handling with a foreign organization applying to the Bureau of Standards, Metrology and Inspection (hereinafter called "the BSMI") for ISO 9001 Co-registration via the foreign cooperative certification bodies (hereinafter called "the Co-registration").

### 2. Terms and definitions

- (1) A cooperative certification body (hereinafter called "the Cooperative CB")  
A foreign certification body signing the Co-registration agreements or arrangements with the BSMI  
(2) The Applicant  
A foreign ISO 9001 registered organization, which is assessed, surveillance audited or reassessed by  
the Cooperative CB itself, applying for the Co-registration  
(3) The Co-Registered Organization (hereinafter called "the CRO")  
An applicant reviewed and approved via these procedures and granted the Co-registration by the BSMI  
(4) The Authorized Taiwan Agent (hereinafter called "the ATA")  
An agent, which has a domicile or business location in Taiwan, authorized by the Applicant or the CRO on its behalf to handle with relevant matters of the Co-registration

### 3. Application procedures

- (1) The Applicant shall complete the following application documents, and then file the documents to the Cooperative CB first:  
a. Application Form for ISO 9001 Certification (QA-90),  
b. Basic Information & Questionnaire (QA-91), and  
c. Declaration of Rights and Obligations for Management Systems Registration (QAD-050-008E).  
(2) After accepting an application, the Cooperative CB shall deliver the preceding documents together with the following documents written in English or in Chinese to the BSMI for application:  
a. a copy of current version of the valid ISO 9001 certificate granted by the Cooperative CB,  
b. a copy of audit report regarding an initial assessment, a full clausal surveillance, or a re-assessment within one year with the relevant audit plan, copies of the assessors' resumes including the NACE (Nomenclature generale des activites economiques dans les communautes Europeennes) Code, and a corrective action plan for the non-conformities, and  
c. a completed "Information on ISO 9001 Audit Time" (QA-96).

### 4. Acceptance and review

The BSMI shall check the documents according to the requirements of clause 3 after receiving. An application with complete and conforming documents will be accepted. After the acceptance, the BSMI will review the documents. If any lack, mistake or incompleteness of the contents arises, the BSMI will inform the Cooperative CB to provide corrections within two months. Any overdue uncorrected application will be rejected and closed.

### 5. Issuance of certificate

After the Application is approved, the BSMI shall inform the ATA to pay the statutory fees, including Examination fee, Certificate fee and Registration fee, etc., according to "Regulations Governing Fees for Commodity Inspection". After receiving the statutory fees, the BSMI shall issue a stamped certificate with the valid period conformed to that on the current Applicant's ISO 9001 certificate issued by the Cooperative CB. The BSMI shall deliver the certificate to the ATA and

inform the Cooperative CB and the Applicant regarding the issuance of certificate.

6.A foreign organization, which is registered by the BSMI ISO 9001 certification program, may change the status as a CRO if it is granted ISO 9001 registration with the same name and address by

the Cooperative CB and then making an application for changing status according to the procedures.

The Applicant shall inform the BSMI regarding changing status two months prior to the scheduled BSMI surveillance or reassessment audit. After approved by the BSMI for changing status as a CRO,

the BSMI will stop conducting the surveillance or reassessment audit, which, instead, will be conducted by the Cooperative CB.

#### 7.The responsibility and obligations of the Cooperative CB

(1) The Cooperative CB shall be in charge of conducting the succeeding surveillance or re-assessment audits for the CRO. The annual audit frequency is determined according to the requirements of the Cooperative CB. The Cooperative CB shall agree with that the BSMI may assign observers to join the surveillance or re-assessment of the Cooperative CB by sampling a few CRO audit cases to harmonize the performance level between each other, when necessary.

(2) The Cooperative CB shall provide the following documents written in English or in Chinese to the BSMI for review within 3 months after completing the surveillance or re-assessment audit of the CRO; the BSMI may inform the Cooperative CB to provide the documents, when necessary.

a. A copy of the surveillance or re-assessment audit report with the relevant audit plan, copies of the assessors' resumes including the NACE (Nomenclature generale des activites economiques dans les communautes Europeennes) Code and corrective action plan for non-conformities.

b. A copy of renewal ISO 9001 certificate issued by the Cooperative CB when re-assessment is conducted, the ISO 9001 standard is revised, or the name, address or registration scopes of the CRO

are changed.

#### 8.Administration of the CRO

(1) The ATA shall be authorized to handle with the following matters:

a. paying the relevant statutory fees, including annual Registration fee or Certificate fee (replacement) etc. according to "Regulations Governing Fees for Commodity Inspection",

b. accepting receipts, certificate and all kinds of official documents, and

c. applying for all kinds of changes regarding registration scopes, certificate replacement or willingly cancellation/withdrawal of the BSMI Co-registration, etc.

The CRO shall request the ATA to maintain correct contact information to ensure the delivery of receipts, certificate or all kinds of official documents. If the ATA is changed, the CRO shall promptly inform the BSMI and apply for changing ATA with Form QA-90.

(2) Replacement and re-issuance of certificate

a. When the CRO is reviewed and approved for replacement of certificate regarding the re-assessment audit, revision of the ISO 9001 standard, or the change of the name, address or registration scopes, the BSMI shall inform the ATA to pay the statutory fee for replacement of certificate. After receiving the statutory fee, the BSMI shall renew the certificate according to the procedures.

b. If a change of the name of the CRO without accompanying any surveillance or re-assessment audit, a relevant application letter, the proof documents and a copy of renewal certificate issued by the Cooperative CB shall be prepared for replacement of certificate.

c. In case the original certificate is lost, an application for re-issuance of certificate may be filed to the BSMI. After approval, the BSMI shall inform the ATA to pay the statutory fee for the re-issuance of certificate and re-issue the certificate according to the procedures.