

## Content

Title :	Directions Governing the Application for the CNS Mark <b>Ch</b>
Date :	2016.06.06
Legislative :	<p>1. Adopted and promulgated by the Bureau of Standards, Metrology and Inspection on 27 July 2001.</p> <p>2. Amended and promulgated by the Bureau of Standards, Metrology and Inspection on 24 November 2003.</p> <p>3. Amended and promulgated by the Bureau of Standards, Metrology and Inspection on 6 May 2005</p> <p>4. Amended and promulgated by the Bureau of Standards, Metrology and Inspection on 9 June 2009</p> <p>5. Amended and promulgated by the Bureau of Standards, Metrology and Inspection on 11 July 2013</p> <p>6. Amended and promulgated by the Bureau of Standards, Metrology and Inspection on 6 June 2016</p>
Content :	<p>1. The CNS Mark Certification System</p> <p>(1) In order to promote the National Standards (CNS), the Bureau of Standards, Metrology and Inspection (BSMI), Ministry of Economic Affairs, shall announce to the public the designated items of national standards to implement the CNS Mark Certification System (hereinafter referred to as “the System”) after obtaining approval from the National Standards Review Council in accordance with Article 10 of the Standards Act.</p> <p>(2) The System is on a voluntary basis and manufacturers may apply to the BSMI for the CNS Mark based on their own needs. The provisions of Article 3 of the Regulations Governing the CNS Mark (hereinafter referred to as “the Regulations”) state the following requirements of the System:</p> <p>a. The quality management of the factory (hereinafter referred to as the quality management) has been certified against the quality management system specified by the BSMI after assessment; the quality management system shall be designated and announced by the BSMI.</p> <p>b. The products meet relevant national standards after inspection and testing.</p> <p>(3) According to the provisions of Article 6 of the Regulations, the BSMI may commission corporations or associations (hereinafter referred to as “third party certification bodies”) to conduct activities of the conformity assessment, issuance (replacement) of certificates, market surveillance, and management of the CNS Mark certification.</p> <p>(4) For the purpose of conducting the assessment of quality management systems, product sampling or inspection and other related activities, the BSMI may recognize quality management system certification bodies (hereinafter referred to as “recognized QMS certification bodies”) or testing laboratories in accordance with the provisions of Article 7 of the Regulations to conduct such activities.</p> <p>2. Addition, Amendment and Rescission of the CNS Mark Product List</p> <p>(1) For the purpose of implementing the CNS Mark Certification System, the BSMI (the 1st Division) may, according to the provisions of Article 10 of the Standards Act and Article 2 of the Regulations, announce to the public a list of products to which the designated national standards items mentioned in Article 10 of the Standards Act apply as products covered by the CNS Mark (hereinafter referred to as “the CNS Mark Product List”) after having obtained approval from the National Standards Review Council. Where it is no longer necessary to include certain items in the</p>

CNS Mark Product List, the BSMI may also announce to the public of the decision of rescission.

(2) The CNS Mark Product List will be published on the BSMI website for reference after it is announced. Products that have not been included in the CNS Mark Product List are under continuous review in terms of their characteristics, the applicable national standard requirements, the testing facilities and capacity of the BSMI, the BSMI branch with jurisdiction, third party certification bodies or recognized testing laboratories, and the purpose of promoting national standards in order for them to be added to the CNS Mark Product List at appropriate time. Where necessary, a manufacturer may apply to the BSMI to add product items to the List.

(3) After addition, amendment or rescission is made to the CNS Mark Product List, the BSMI (the 1st Division) shall notify the applicant, who applies for the addition, amendment or rescission, the involved CNS Mark registered manufacturers, the BSMI (the 5th and the 6th Divisions), the BSMI branch with jurisdiction, third party certification bodies, recognized QMS certification bodies and recognized testing laboratories.

(4) Where a decision of rescission of products listed on the CNS Mark Product List is made and announced to the public, the BSMI (the 1st Division) or third party certification bodies shall, in accordance with subparagraph 3, Paragraph 1, Article 29 of the Regulations, rescind the CNS Mark certificates of the involved registered manufacturers entitled to use the CNS Marks, request the certificates to be returned with copies sent to the BSMI (the 1st, the 5th, and the 6th Divisions), the BSMI branch with jurisdiction, third party certification bodies, recognized QMS certification bodies and recognized testing laboratories.

### 3. The Pattern and Marking of CNS Mark

#### (1) The Pattern of CNS Mark

a. According to Paragraph 1, Article 4 of the Regulations, the pattern of CNS Mark is composed of the abbreviation of "CNS" and a Chinese symbol "㊤", as shown below:

b. Dimensions and drawing: The BSMI will, in accordance with Paragraph 2, Article 4 of the Regulations, publish the "Dimensions and Cartography of CNS Mark" as reference for drawing the CNS Mark.

c. Name:

Chinese name: 正字標記

English name: CNS Mark

#### (2) Marking

a. When affixing the CNS Mark, a manufacturer shall, in accordance with the provision of Paragraph 1, Article 5 of the Regulations, place the pattern set forth in 3(1)a. and the certificate number on a prominent part of the product, forming an integrated part of the pattern. Where it is not possible to affix the CNS Mark to the body of the product, the Mark shall be affixed to the packing or containers

of the product. For products in loose packaging, the Mark shall be displayed on the delivery notes.

b. For a manufacturer that does not observe the provision of 3(2)a., the BSMI (the 1st Division) or third party certification bodies shall, in accordance with Paragraph 2, Article 5 of the Regulations, notify the manufacturer to make rectification within one month from the next day of the delivery. In the case that the manufacturer cannot complete rectification within the one-month period, it may explain the reason in writing to the BSMI (the 1st Division) or third party certification bodies to apply for an extension of the period for another one month, for one time only. Upon receipt of the application for the extension, the BSMI (the 1st Division) or third party certification bodies shall immediately examine the claimed reason and make a decision on whether to approve or reject the

application. The decision shall be communicated to the manufacturer in a letter, copies of which shall be sent to the BSMI (the 6th Division), the BSMI branch with jurisdiction, recognized QMS certification bodies and recognized testing laboratories. For a manufacturer that violates the provision of 3(2)a. and does not make rectification within the specified time limit, the BSMI (the 1st Division) or third party certification bodies shall, in accordance with Paragraph 1, Article 27 of the Regulations, rescind its CNS Mark certificate and request that the certificate be returned with copies sent to the BSMI (the 1st, the 5th, and the 6th Divisions), the BSMI branch with jurisdiction, third party certification bodies, recognized QMS certification bodies and recognized testing laboratories.

#### 4. Application Procedures for the CNS Mark

##### (1) Selecting an appropriate product classification for the use of CNS Mark pursuant to the location of applicant's factory

When applying for the use of CNS Mark, a manufacturer may, in accordance with the provision of Article 8 of the Regulations, make respective applications for products produced and manufactured subject to the circumstances as follows in respect of different factories.

- a. The appropriate product classification for the use of CNS Mark shall be selected for products included in the CNS Mark Product List.
- b. Each product is limited to one application. However, if the product list is subject to further classifications, applications shall be made based on such classifications. One application is confined to each classification.
- c. Separate applications shall be made for different products produced and manufactured by the same plant. Where the same product is produced and manufactured by different plants of the same company, separate applications shall be made in respect of different plants.

##### (2) Assessment of quality management systems (QMS)

- a. Before applying for the CNS Mark, a manufacturer shall first apply for QMS certification to the BSMI (the 5th Division) or recognized QMS certification bodies. According to Paragraph 2, Article 9 of the Regulations, the BSMI (the 5th and 6th Divisions), the BSMI branch with jurisdiction or recognized QMS certification bodies shall designate personnel to the manufacturer's factory to perform assessments of QMS and prepare an assessment report and deliver it to the manufacturer.
- b. Upon receipt of payment notice for the QMS assessment sent by the BSMI (the 5th Division) or the BSMI branch with jurisdiction, the manufacturer shall pay the fee pursuant to the notice.

##### (3) Product inspection

- a. Before applying for the CNS Mark, a manufacturer shall first apply to the BSMI (the 6th Division), the BSMI branch with jurisdiction or recognized testing laboratories for product inspection. Upon receipt of the application, the BSMI (the 6th Division), the BSMI branch with jurisdiction or recognized testing laboratories shall designate personnel to visit the manufacturer's factories to sample products in accordance with Paragraph 2, Article 10 of the Regulations after manufacturing process is confirmed that includes final assembly or separate packing, and inspection management.
- b. The BSMI (the 6th Division), the BSMI branch with jurisdiction or recognized testing laboratories shall conduct an inspection of the selected samples against national standards and the "List of CNS Mark Inspection Items" in accordance with Paragraph 2, Article 10 of the Regulations. Where the inspection items on the List are conducted in the manner of witness testing, personnel shall be designated to visit the manufacturer's factories or the specified testing laboratories to assess whether they have the facilities and capability required by the inspection items in accordance with national standards. Where the assessment confirms compliance with the requirements, the testing shall be conducted under the supervision of the BSMI.

c. After completion of product inspection or witness testing, the BSMI (the 6th Division), the BSMI branch with jurisdiction or recognized testing laboratories shall notify the manufacturer to pay the related fees for product inspection and, in accordance with Paragraph 2, Article 10 of the Regulations, prepare an inspection report and deliver it to the manufacturer and send copies to the BSMI (the 1st Division) as well after the manufacturer pays the fees.

d. Where a manufacturer has obtained other certification marks specified and announced by the BSMI, the application for product inspection may be sent to the BSMI (the 6th Division), the BSMI branch with jurisdiction or recognized testing laboratories by submitting the latest testing report of the valid certificate of the certification mark. Upon receipt of application, the BSMI (the 6th Division), the BSMI branch with jurisdiction or recognized testing laboratories shall conduct product inspection in accordance with the “List of CNS Mark Inspection Items”. Where it conforms to CNS,

it may be exempted from the inspection list. Where it fails to conform to CNS, it shall be processed in compliance with the provisions prescribed in 4(3)a.-c.. An inspection report shall be prepared and delivered to the manufacturer, with copies sent to the BSMI (the 1st Division).

(4) For inspection items that do not require witness testing, a manufacturer may apply to the BSMI (the 1st Division) for witness testing with reasons stated in the circumstance meeting one provision specified in Article 13 of the Regulations.

a. Huge and heavy products that are not easy to deliver;

b. Delicate and fragile products that are easily damaged;

c. Dangerous products that easily cause danger;

d. Manufacturer’s testing laboratories complying with CNS 17025 and accredited by Taiwan’s laboratory accreditation body or accreditation bodies that are located in the same country as the factory’s testing laboratories and that signed the mutual recognition arrangement developed by the International Laboratory Accreditation Cooperation under the condition that the accredited scope complies with related CNS applicable to the products in question; or

e. Other special circumstances approved by the BSMI.

(5) Upon receipt of the application for witness testing, the BSMI (the 1st Division) shall notify the BSMI (the 6th Division), the BSMI branch with jurisdiction or recognized testing laboratories to jointly dispatch personnel to the manufacturer’s testing laboratories or the laboratories specified by the manufacturer to perform an onsite investigation of the conditions prescribed in 4(4)a.-d. and assess whether the factory possesses the facilities and capability required for the inspection items in accordance with national standards. An “Evaluation Report on the Capability to Perform Witness Testing” shall be prepared based on the result of the investigation in order to determine whether approval will be given to the application. The decision shall be made known in writing to the manufacturer, with copies sent to the BSMI (the 6th Division), the BSMI branch with jurisdiction and recognized testing laboratories.

(6) Preparation of application documents and filing of the application

a. When making applications for the CNS Mark, in accordance with Paragraph 1, Article 11 of the Regulations, a manufacturer shall fill in the application form and submit the following documents and the application fee with the application form by post or in person to the BSMI (the 1st Division) or third party certification bodies:

(a) A copy of the company certificate or business registration certificate; a copy of factory registration certificate or other equivalent certificate; foreign manufacturers shall provide the relevant identification documents. However, this paragraph does not apply to manufacturers that have filled in the business uniform number and the factory registration number in the application form.

(b) Basic information of the manufacturer (e.g. organizational chart, brief factory layout map, brief operation flow chart of the main production processes, geographic location or route map, etc.);

- (c) Copies of specified QMS certificates (which shall include the products applied) by the BSMI (the 5th Division) or recognized QMS certification bodies
- (d) Copies of compliance reports of product inspection issued within 6 months prior to the application by the BSMI (the 6th Division), the BSMI branch with jurisdiction or recognized testing laboratories.
- b. Upon having obtained the continuously valid certification marks announced and specified by the BSMI, the manufacturer shall submit copies of certificates of certification marks in addition to the documents prescribed in 4(6)a..
- c. Foreign manufacturers may entrust the agency whose office is located in Taiwan to make the application for the CNS Mark on his/her behalf and provide the power of attorney and related certificates prescribed in a..
- d. Where the documents are in a foreign language, their Chinese translations shall also be provided at the same time.
- e. Where the application for the CNS Mark fails to comply with the requirements in 4(6)a.-d., the manufacturer shall rectify within a month from the next day of receiving the notice issued by the BSMI (the 1st Division) or third party certification bodies. The application shall not be accepted if the rectification is not made within a month or noncompliance still exists after rectification.

#### (7) Review

The BSMI (the 1st Division) or third party certification bodies shall review the reports and relevant documents prescribed in 4(6). Where the reports and documents comply with the provisions after review, the manufacturer shall be notified of the eligibility to use the CNS Mark. Where the reports and documents do not comply with the provisions after review, the application shall be rejected with reasons stated. The whole process shall be completed within fourteen working days.

#### (8) Issuance of Certificates

The BSMI (the 1st Division) or third party certification bodies shall, in accordance with Article 12 of the Regulations, issue a CNS Mark certificate, copies of which shall be sent to the BSMI (the 1st and 6th Divisions) or the BSMI branch with jurisdiction. Where the fee for an English certificate is paid, an English certificate shall also be issued in accordance with Article 12 of the Regulations.

#### (9) Public Notice

For CNS Mark products, the BSMI (the 1st Division) shall announce, in accordance with Article 46 of the Regulations, the names of the CNS Mark registered manufacturers, their plants, their registered CNS Mark product names and related information, and publish the information on the CNS gazette.

### 5. Factory Surveillance and Quality Management

#### (1) Implementation of non-periodical factory surveillance

a. The BSMI (the 6th Division), the BSMI branch with jurisdiction or third party certification bodies may, in accordance with Paragraph 1, Article 14 of the Regulations, designate personnel to visit factories of the CNS Mark registered manufacturers to conduct non-periodical surveillance under the

CNS Mark surveillance checklist at least once every year. The factory surveillance of those with product inspection performed by recognized testing laboratories shall be conducted by the BSMI (the

6th Division), the BSMI branch with jurisdiction or third party certification bodies.

b. In the case that any abnormality is found during the factory surveillance, the BSMI (the 6th Division) or the BSMI branch with jurisdiction shall notify the BSMI (the 1st Division). Where noncompliance occurs, the BSMI (the 1st Division) shall notify the manufacturer to rectify within a

month. Upon completed rectification or expiration, the BSMI (the 6th Division) or the BSMI branch with jurisdiction may conduct the surveillance again. When third party certification bodies conduct the factory surveillance, the BSMI (the 1st Division) may, if necessary, notify the BSMI (the 6th Division) or the BSMI branch with jurisdiction to conduct joint surveillance. A copy of the surveillance report shall be sent to the BSMI (the 1st Division).

c. For a manufacturer who fails to complete rectification upon the re-inspection, the BSMI (the 1st Division) or third party certification bodies shall, in accordance with subparagraph 3, Article 27 of the Regulations, rescind the CNS Mark certificates and request the registered manufacturers to return

their certificates. Copies of the decision shall be sent to the BSMI (the 1st, the 5th and 6th Divisions),

the BSMI branch with jurisdiction, third party certification bodies, recognized QMS certification bodies and recognized testing laboratories.

#### (2) Implementation of annual factory quality management surveillance

a. In the case that any changes to the basic information of the manufacturer are found during the annual factory quality management surveillance visits specified by the BSMI, the BSMI (the 6th Division), the BSMI branch with jurisdiction or recognized QMS certification bodies shall notify the BSMI (the 1st Division) or third party certification bodies in terms of the quality control systems specified by the BSMI. A quality management surveillance report and a surveillance checklist shall be prepared by recognized QMS certification bodies and delivered to the BSMI (the 6th Division), BSMI branch with jurisdiction or third party certification bodies for filing and reference within three months after the surveillance visits in accordance with jurisdiction. The BSMI (the 1st and 6th Divisions), the BSMI branch with jurisdiction or third party certification bodies may, if necessary, designate non-periodically personnel to participate in joint surveillance visits.

b. According to the provision of Article 15 of the Regulations, the CNS Mark shall not be used on products manufactured during the rectification period of quality management. Upon any violation, the BSMI (the 1st Division) or third party certification bodies shall, in accordance with subparagraph 4, Article 27 of the Regulations, rescind the CNS Mark certificates and request the registered manufacturers to return their certificates. Copies of the decision shall be sent to the BSMI (the 1st, the 5th and 6th Divisions), the BSMI branch with jurisdiction, third party certification bodies, recognized QMS certification bodies and recognized testing laboratories.

c. Where the registration of quality management system of a manufacturer is rescinded by the BSMI (the 5th Division) or recognized QMS certification bodies, the BSMI (the 1st Division) or third party certification bodies shall, in accordance with subparagraph 8, Article 27 of the Regulations, rescind the CNS Mark certificates and request the registered manufacturers to return their certificates.

Copies

of the decision shall be sent to the BSMI (the 1st, the 5th and 6th Divisions), the BSMI branch with jurisdiction, third party certification bodies, recognized QMS certification bodies and recognized testing laboratories.

#### (3) Obligations of and punishments for violations against implementation of factory surveillance and factory quality management surveillance

Upon implementation of factory surveillance to manufacturers, the BSMI (the 6th Division), the BSMI branch with jurisdiction or third party certification bodies may designate personnel to conduct onsite check or request the related information in factories, offices or other pertinent locations in terms of the provisions of Article 18 of the Regulations, which manufacturers may not avoid, hinder or refuse. Upon any violation, the BSMI (the 6th Division), the BSMI branch with jurisdiction or third party certification bodies shall notify the manufacturer to rectify within a specified time limit with a copy sent to the BSMI (the 1st Division). For a manufacturer who does not make rectification

within the specific time limit, the BSMI (the 1st Division) or third party certification bodies shall, in accordance with subparagraph 5, Article 27 of the Regulations, rescind the CNS Mark certificates and request the registered manufacturers to return their certificates. Copies of the decision shall be sent to the BSMI (the 1st, the 5th and 6th Divisions), the BSMI branch with jurisdiction, third party certification bodies, recognized QMS certification bodies and recognized testing laboratories.

#### 6. Non-periodical Inspection of CNS Mark Products

##### (1) Annual non-periodical inspection plan of CNS Mark products

a. The BSMI (the 6th Division), the BSMI branch with jurisdiction, or third party certification bodies shall draw up “an annual non-periodical product inspection plan” to conduct the non-periodical product inspection of CNS Mark products purchased from the market or taken from the sites or CNS

Mark registered factories in accordance with Paragraph 1, Article 16 of the Regulations for inspection and notify the BSMI (the 1st Division) and relevant recognized testing laboratories.

b. The annual product inspection of 6(1)a. can be conducted once a year in principle; however, the annual product inspection may be conducted more times depending on the actual demand.

c. For the CNS Mark products which have no unqualified inspection recording over past five consecutive years can be conducted once every two years.

d. Recognized testing laboratories shall perform the product inspection pursuant to “an annual non-periodical product inspection plan” drawn up by the BSMI (the 6th Division), the BSMI branch with jurisdiction or third party certification bodies.

##### (2) Sampling

a. The BSMI (the 6th Division), the BSMI branch with jurisdiction, third party certification bodies or recognized testing laboratories may designate personnel non-periodically to conduct product sampling in the market, sites or registered manufacturers’ factories. When conducting product sampling in the market or sites, the BSMI (the 6th Division), the BSMI branch with jurisdiction or third party certification bodies may notify the manufacturers by a letter of notice, with the copies sent to the BSMI (the 1st Division); Before conducting product sampling in the market or sites, recognized testing laboratories shall obtain the consent of the BSMI (the 1st Division).

b. The manufacturer shall, for market or site sampling, provide the record of production and sales of products and perform joint product sampling in domestic warehouses, markets, sale places or sites with the BSMI (the 6th Division), the BSMI branch with jurisdiction, third party certification bodies, or recognized testing laboratories. The fee for product sampling is paid by the manufacturer.

c. During sampling, the items recorded in the CNS Mark certificate and whether the marking of the CNS Mark products meets the provision of 3(2)a. shall be confirmed first. The BSMI (the 1st Division) or third party certification bodies shall be notified of any changes found, the marking not complied with relevant provisions, or the manufacturing process not including final assembly or separate packing and inspection management, which shall be taken and recorded on the Sampling Sheet of Product Inspection and signed and confirmed by the manufacturer, with the copies sent to the BSMI (the 1st Division) or third party certification bodies.

d. After having received a Sampling Sheet of Product Inspection and confirmed that there are CNS Mark products not meeting the provision of 3(2)a. on the Sampling Sheet, the BSMI (the 1st Division) or third party certification bodies shall, depending on the actual circumstances and in accordance with the provision of 3(2)b., request rectification to be made by the manufacturer within a specified time limit or rescind the CNS Mark certificates in question.

##### (3) Implementation of annual non-periodical inspections of CNS Mark products

a. After having implemented an annual inspection plan as described in 6(1), the BSMI (the 6th Division), the BSMI branch with jurisdiction, third party certification bodies or recognized testing

laboratories shall take samples of the CNS Mark products in accordance with the provision of 6(2), and inspect these samples in accordance with the provisions of 4(3)b. and 4(3)d. or perform witness testing for the factories with the facilities and capability required by the inspection items of national standards after the assessment. An inspection report shall be prepared and delivered to the manufacturer. If the national standards are not met, a copy of the notice (the inspection report shall also be attached) delivered to the manufacturer shall be sent to the BSMI (the 1st Division) or third party certification bodies.

b. Upon implementation of product inspection or witness testing in accordance with the provisions prescribed in the preceding paragraph, recognized testing laboratories shall send a copy of the reports

to the BSMI (the 6th Division) or the BSMI branch with jurisdiction pursuant to the jurisdiction.

(4) Rectification within a specified time limit

a. Upon receipt of the unqualified inspection report, the BSMI (the 1st Division) or third party certification bodies shall, in accordance with the provision of 16(2) or (17)(2), notify the manufacturer to make rectification within one month and apply for the re-inspection with the BSMI (the 6th Division), the BSMI branch with jurisdiction, third party certification bodies, or recognized testing laboratories.

b. During the rectification and before the receipt of the qualified inspection report, the CNS Mark shall not be used on products made by the manufacturers in accordance with the provision of 16(4) or

17(4). Upon any violation, the BSMI (the 1st Division) or third party certification bodies shall, in accordance with subparagraph 4, Article 27 of the Regulations, rescind the CNS Mark certificates and request the registered manufacturers to return their certificates. Copies of the decision shall be sent to the BSMI (the 1st, the 5th and 6th Divisions), the BSMI branch with jurisdiction, third party certification bodies, recognized QMS certification bodies and recognized testing laboratories.

(5) Implementation of product inspection again after completion of rectification

a. The BSMI (the 6th Division), the BSMI branch with jurisdiction, third party certification bodies, or recognized testing laboratories shall, within one month upon the manufacturers' applications, conduct a product inspection again.

b. Upon receipt of the inspection report for the re-inspection, where the requirements of national standards are met, the BSMI (the 1st Division) or third party certification bodies shall alter the annual non-periodical product inspection as twice a year and return to once a year after the consecutive qualification of inspection. Where the requirements of national standards are not met, in accordance with the provision of subparagraph 3, Article 27 of the Regulations, the BSMI (the 1st Division) or third party certification bodies shall rescind the CNS Mark certificates and request the registered manufacturers to return their certificates. Copies of the decision shall be sent to the BSMI (the 1st, the 5th and 6th Divisions), the BSMI branch with jurisdiction, recognized QMS certification bodies and recognized testing laboratories.

(6) Payment notice

After having completed the annual non-periodical product inspection of CNS Mark products, the BSMI (the 6th Division) and the BSMI branch with jurisdiction shall first notify the manufacturer to pay the related fees for products inspection and issue the inspection report after the manufacturer pay the fees. Where a manufacturer refuses to pay the fees, the BSMI (the 1st Division) or third party certification bodies shall, in accordance with subparagraph 2, Article 27 of the Regulations, rescind the CNS Mark certificates and request the registered manufacturers to return their certificates. Copies of the decision shall be sent to the BSMI (the 1st, the 5th and 6th Divisions), the BSMI branch with jurisdiction, third party certification bodies, recognized QMS certification bodies and recognized testing laboratories.

(7) Other circumstances of CNS Mark products not meeting requirements of national standards  
Where the CNS Mark product is reported to be non-compliant with national standards and such non-compliance is verified by the BSMI (the 1st Division) or third party certification bodies, the provisions of 6(4)-(6) shall apply.

(8) Manufacturer's obtainment of other certification marks announced and specified by the BSMI  
Where a manufacturer has obtained the registered data of certification marks announced and specified by the BSMI which renders the approval for the CNS Mark, the product inspection items that meet the national standards prescribed in 6(3) may be exempted if the registration of the mark remains valid with the sampling and inspection report made within one year upon the registration of the CNS Marks. For the inspection items not exempted, the product inspection shall be performed by the BSMI (the 6th Division), the BSMI branch with jurisdiction, third party certification bodies or recognized testing laboratories under the provisions of 6(2)-(6).

(9) Manufacturer's obligation under product inspection and punishment of violation  
During the product inspection of the CNS Mark registered manufacturers' products, the BSMI (the 6th Division), the BSMI branch with jurisdiction or third party certification bodies may designate personnel to conduct onsite check, witness testing or request relevant information which the CNS Mark registered in the market, sites or manufacturers' factories; manufacturers shall not avoid, hinder, or refuse in accordance with Article 18 of the Regulations. For any violation, the BSMI (the 6th Division), the BSMI branch with jurisdiction or third party certification bodies shall notify the CNS Mark registered manufacturers to rectify within a specified time limit with a copy of the notice sent to the BSMI (the 1st Division). Where the manufacturers fail to make the rectification, the BSMI (the 1st Division) or third party certification bodies shall, in accordance with subparagraph 5, Article 27 of the Regulations, rescind the CNS Mark certificates and request the registered manufacturers to return their certificates. Copies of the decision shall be sent to the BSMI (the 1st, the 5th and 6th Divisions), the BSMI branch with jurisdiction, third party certification bodies, recognized QMS certification bodies and recognized testing laboratories.

#### 7. Report of Suspending Manufacturing of CNS Mark Products and Follow-up Actions

(1) The CNS Mark registered manufacturers that suspend manufacturing of CNS Mark products shall file the reasons and period of suspension with the BSMI (the 6th Division), the BSMI branch with jurisdiction or third party certification bodies within three months from the day following the suspension day in accordance with the provision of Paragraph 1, Article 19 of the Regulations. According to the provision of Paragraph 2, Article 19 of the Regulations, the period of suspension may not exceed one year. If there are good causes, the manufacturer may present evidence and apply to the BSMI (the 6th Division), the BSMI branch with jurisdiction or third party certification bodies for an extension, which shall not exceed six months.

(2) Upon receipt of the filing from CNS Mark registered manufacturers on the suspension of manufacturing CNS Mark products, the BSMI (the 6th Division), the BSMI branch with jurisdiction or third party certification bodies shall reply to the manufacturers and record the information for reference. Copies of the reply shall be sent to the BSMI (the 1st Division), recognized QMS certification bodies and recognized testing laboratories. In regards to applications for an extension of the suspension period, a review shall be made of the reasons and evidential documents provided by the manufacturers. Where approval is granted, an extension of six months shall be given in accordance with the provision of Paragraph 2, Article 19 of the Regulations. Copies of the decision on approval or rejection of the extension shall be sent to the BSMI (the 1st Division), recognized QMS certification bodies and recognized testing laboratories.

(3) The CNS Mark shall not be applied to products manufactured during the suspension period in accordance with the provision of Paragraph 3, Article 19 of the Regulations. Where a filing is made

according to 7(1), the CNS Mark may continue to be applied to products manufactured in accordance with the national standards and prior to the suspension. For violations of this requirement, the BSMI (the 1st Division) or third party certification bodies shall, in accordance with subparagraph 4, Article 27 of the Regulations, rescind the CNS Mark certificates and request the registered manufacturers to return their certificates. Copies of the decision shall be sent to the BSMI (the 1st, the 5th and 6th Divisions), the BSMI branch with jurisdiction, recognized QMS certification bodies and recognized testing laboratories.

(4) According to the provision of Paragraph 4, Article 19 of the Regulations, upon expiration of the suspension period or early resumption of production, the CNS Mark registered manufacturers may apply the CNS Mark to their products after they have informed the BSMI (the 6th Division), the BSMI branch with jurisdiction or third party certification bodies that manufacturing of CNS Mark products has been resumed. If the manufacturer does not resume production when the suspension period expires, or applies the CNS Mark to their products without informing the BSMI (the 6th Division), the BSMI branch with jurisdiction or third party certification bodies of the resumption of production, the BSMI (the 6th Division) and the BSMI branch with jurisdiction shall notify the BSMI (the 1st Division). The BSMI (the 1st Division) or third party certification bodies shall rescind the CNS Mark certificates and request the registered manufacturers to return their certificates in accordance with subparagraph 6 or 7, Article 27 of the Regulations. Copies of the decision shall be sent to the BSMI (the 1st, the 5th and 6th Divisions), the BSMI branch with jurisdiction, recognized QMS certification bodies and recognized testing laboratories.

(5) Upon receipt of the filing from CNS Mark registered manufacturers regarding the suspension of manufacturing CNS Mark products, the BSMI (the 6th Division), the BSMI branch with jurisdiction or third party certification bodies shall reply to the manufacturers and record the information for reference. The manufacturers shall also be informed that they have been covered in the annual surveillance plan, with the priority to accept non-periodical quality management surveillance and non-periodical product inspection. Copies of the notice shall be sent to the BSMI (the 1st Division), recognized QMS certification bodies and recognized testing laboratories.

#### 8. Determination and Processing of Suspension of Manufacturing of CNS Mark Products

(1) If the BSMI (the 6th Division), the BSMI branch with jurisdiction, third party certification bodies, recognized QMS certification bodies or recognized testing laboratories found during the implementation of factory surveillance, quality management system surveillance or product sampling that the CNS Mark registered manufacturers have the conditions prescribed in Paragraph 1, Article 20 of the Regulations and fail to report in accordance with Paragraph 1, Article 19 of the Regulations, the fact and the dates of the most recent manufacturing records or quality records shall be stated in the surveillance checklist or sampling sheet. Also, the BSMI (the 1st Division) or third party certification bodies shall be informed of such conditions.

(2) If the BSMI (the 6th Division), the BSMI branch with jurisdiction, third party certification bodies or recognized testing laboratories found, during the implementation of product sampling, that the CNS Mark registered manufacturers have the conditions prescribed in Paragraph 2, Article 20 of the Regulations and fail to report in accordance with Paragraph 1, Article 19 of the Regulations, the fact shall be stated in the sampling sheet. Also, the BSMI (the 1st Division) or third party certification bodies shall be informed of such conditions.

(3) Upon receipt of the notice prescribed in paragraph 1 and 2, the BSMI (the 1st Division) or third party certification bodies shall rescind the CNS Mark certificates and request the registered manufacturers to return their certificates in accordance with subparagraph 6, Article 27 of the Regulations. Copies of the decision shall be sent to the BSMI (the 1st, the 5th and 6th Divisions),

the BSMI branch with jurisdiction, third party certification bodies, recognized QMS certification bodies and recognized testing laboratories.

9. Processes Involved in the Revision or Abolishment of National Standards for the CNS Mark

(1) Where the applicable national standard for a CNS Mark product is revised or abolished, resulting in the application of another applicable national standard, the BSMI (the 1st Division), upon the evaluation of substantial impact on the corresponding CNS Mark, or third party certification bodies shall, in accordance with the provision of Paragraph 1, Article 21 of the Regulations, notify the CNS Mark registered manufacturers to rectify according to the revised or newly applicable national standards within six months. Copies of the notice shall be sent to the BSMI (the 6th Division), the BSMI branch with jurisdiction, recognized QMS certification bodies or recognized testing laboratories. Where the rescission of CNS results has no applicable national standards for CNS Mark, related CNS Mark certificates shall be rescinded and returned in accordance with subparagraph 2, Paragraph 1, Article 29 of the Regulations. Copies of such decisions shall be sent to the BSMI (the 1st, the 5th and 6th Division), the BSMI branch with jurisdiction, third party certification bodies, recognized QMS certification bodies or recognized testing laboratories.

(2) Where the CNS Mark registered manufacturers cannot rectify according to the revised or newly applicable national standards within six months, they may apply to the BSMI (the 1st Division) or third party certification bodies for an extension of up to six months by submitting rectification plans in accordance with the provision of Paragraph 1, Article 21 of the Regulations.

(3) Upon receipt of an application for extension filed under 9(2), the BSMI (the 1st Division) or third party certification bodies shall immediately examine the rectification plan. An extension shall be granted according to the provision of Paragraph 1, Article 21 of the Regulations if the application is approved. Where a decision of rejection is made, a written reply shall be sent to the manufacturer, with copies sent to the BSMI (the 1st, the 5th, and the 6th Division), the BSMI branch with jurisdiction, recognized QMS certification bodies and recognized testing laboratories.

(4) Where the applicable national standard for a CNS Mark product is revised or abolished, resulting in the application of another applicable national standard, the CNS Mark may continue to be applied to the CNS Mark products that meet the requirements of national standards and are manufactured prior to the revision period. Where the CNS Mark certificate is rescinded as a result of the rescission of relevant national standards, the CNS Mark products that meet the requirements of national standards and are manufactured prior to the date of rescission may continue to bear the CNS Mark according to the provision of Paragraph 2, Article 29 of the Regulations.

(5) The CNS Mark registered manufacturers that complete rectification early by the rectification period may continue to use the CNS Mark that meet the revised or newly applicable national standards after they have reported to the BSMI (the 1st Division) or third party certification bodies for reference in accordance with Paragraph 4, Article 21 of the Regulations.

(6) Upon receipt of the report of rectification within a specified time limit made by the manufacturer, the BSMI (the 1st Division) or third party certification bodies shall send a written reply to the manufacturer and record the information for reference and send the personnel to conduct the product inspection in accordance with revised or newly applicable national standards in a top priority. Copies of the notice shall be sent to the BSMI (the 6th Division), the BSMI branch with jurisdiction or recognized testing laboratories. Upon receipt of the notice, the BSMI (the 6th Division), the BSMI branch with jurisdiction or recognized testing laboratories shall include the manufacturer in question in the annual product inspection plan and give it a higher order of priority to accept product inspection in accordance with the provisions prescribed in 6.

(7) For manufacturers that do not make rectification by the specified time limit or apply for the

extension, the BSMI (the 6th Division), the BSMI branch with jurisdiction or recognized testing laboratories shall, upon the rectification period expires, send the personnel to conduct the non-periodical product inspection at the manufacturers' factories in accordance with the provisions prescribed in 6 in a top priority.

#### 10. Replacement and Re-issuance of CNS Mark Certificates

(1) Where changes are made to the content specified in the CNS Mark certificates, the CNS Mark registered manufacturers shall provide the application form for the change of CNS Mark and appendixes and pay certificate fees to apply for the replacement of CNS Mark certificates to the BSMI (the 1st Division) or third party certification bodies in accordance with Paragraph 1, Article 22 of the Regulations. If the changes are made in a foreign language, the Chinese translation shall also be provided.

(2) Where the changes involve relocation of plants, according to the provision of Paragraph 2, Article 22 of the Regulations, the application for the replacement of CNS Mark certificates shall be made to the BSMI (the 1st Division) or third party certification bodies by submitting a copy of the quality management recognized registration certificate and the report of the assessment or surveillance qualification within 1 year and the report of product inspection qualification within 6 months upon completed registration of the new address of plants.

(3) Where a product name is changed due to the revised national standards, the BSMI (the 1st Division) or third party certification bodies shall immediately inform the manufacturer to replace the CNS Mark certificate. In this case, the manufacturer only needs to submit the original certificate and the replacement will be given at no charge.

(4) According to the provision of Paragraph 3, Article 22 of the Regulations, if a manufacturer does not apply for replacements of certificates according to the relevant provisions, the BSMI (the 1st Division) or third party certification bodies shall notify the manufacturer to rectify within one month starting from the next day of receiving the rectification notice. If the manufacturer fails to rectify within the one-month period, the BSMI (the 1st Division) or third party certification bodies shall, in accordance with the provision of subparagraph 3, Article 27 of the Regulations, rescind the CNS Mark certificates and request the registered manufacturers to return their certificates. Copies of

the decision shall be sent to the BSMI (the 1st, the 5th and 6th Divisions), the BSMI branch with jurisdiction, third party certification bodies, recognized QMS certification bodies and recognized testing laboratories.

(5) If the CNS Mark certificate is lost, destroyed or damaged, the CNS Mark registered manufacturers may write to the BSMI (the 1st Division) or third party certification bodies by providing the reasons (stamped with the company's seals) and paying the fee to apply for re-issuance of certificates.

(6) The BSMI (the 1st Division) or third party certification bodies shall send copies of the replaced or re-issued certificates to the BSMI (the 6th Division), the BSMI branch with jurisdiction, recognized QMS certification bodies or recognized testing laboratories.

(7) According to the provision of subparagraph 4 or 5, Paragraph 1, Article 29 of the Regulations, for the CNS Mark registered manufacturers, if their company or business registration certificates or factory registration certificates are revoked, rescinded or cancelled by the competent authorities, or if they dissolved or close their business, the BSMI (the 1st Division) or third party certification bodies shall rescind their CNS Mark certificates and request these manufacturers to return their certificates. Copies of the decision shall be sent to the BSMI (the 1st, the 5th and 6th Divisions), the BSMI branch with jurisdiction, third party certification bodies, recognized QMS certification bodies and recognized testing laboratories.

#### 11. Rescission and Revocation of the CNS Mark Certificates

(1) After having made the decision to rescind or revoke the CNS Mark certificates in accordance with the provisions of Article 26, 27, and 29 of the Regulations, the BSMI (the 1st Division) or third party certification bodies shall notify the registered manufacturers involved and send copies of the decision to the BSMI (the 1st, the 5th and 6th Divisions), the BSMI branch with jurisdiction, third party certification bodies, recognized QMS certification bodies and recognized testing laboratories. Upon receipt of the notice sent from the BSMI, the manufacturers shall return their CNS Mark certificates.

(2) According to the provisions of Articles 26, 27 and 29 of the Regulations, a manufacturer shall not apply the CNS Mark to their products after the CNS Mark has been rescinded or revoked.

(3) For unauthorized use of the CNS Mark after the rescission or revocation of the CNS Mark certificate, the BSMI (the 1st Division) shall handle the case in accordance with Article 16 of the Standards Act. In the event that illegal and unauthorized use of the CNS Mark is deemed to cause damage or have potential damage to consumers' life, body, health or property, the BSMI (the 1st Division) shall handle the case in accordance with Article 17 of the Standards Act.

(4) Where an application is made by a manufacturer for the same products subject to the decision of the revocation or rescission of CNS Mark certificates in accordance with Article 26 or 27 of the Regulations respectively upon the following day after confirmation of revocation or rescission, the BSMI (the 1st Division) or third party certification bodies shall reject the application according to the time limited prescribed in the provision of Article 26 and 28 of the Regulations.

(5) The BSMI (the 1st Division) shall announce the names of the CNS Mark registered manufacturers, their plants, their product names and related information. All the information shall also be published on the CNS gazettes in accordance with Article 46 of the Regulations.

#### 12. Removal of CNS Marks

(1) Within two months following the day of revocation of CNS Mark certificates or rescission of CNS Mark certificates in accordance with Article 27 of the Regulations, a manufacturer shall remove the CNS Mark pattern from the packages, containers, delivery notes or related information of the CNS Mark products manufactured prior to the date of rescission or revocation in accordance with the provision of Article 26 and 28 of the Regulations.

(2) Where a manufacturer does not remove the CNS Mark pattern and CNS Mark certificate number according to the relevant provisions, the BSMI (the 1st Division) shall process in accordance with the provisions on punishment of unauthorized use of CNS Mark set forth in 11(3).