

5. The inspection method of monitoring inspection:

- (1) Inspection location: the inspection authority or inspection bodies commissioned by the BSMI.
- (2) Inspection time limit: 7 workdays from the day when the sample of commodities subject to inspection arrives at the inspection bodies.
- (3) Requirements for the commodity inspection mark are as follows:
  - a. The Obligatory Inspection Applicant may apply to the inspection authority to purchase the product inspection mark with character "C" printed by the BSMI or apply for product inspection mark with character "M" and the designated code for the purpose of self-printing.
  - b. When the Obligatory Inspection Applicant applies for inspection, if the batch number is filled in on the inspection application and the batch number is also marked on the body or minimum packaging of the product, the Obligatory Inspection Applicant may self-print the product inspection mark. The identification number consists of the character "M" and a designated code (including a seven-digit batch number), arranged in close proximity to the right or below the basic diagram, marked in top and bottom rows. This is for post-market management mechanisms and product traceability. Obligatory Inspection Applicant

For example: 2007001 [as the first batch of toys produced in July 2020; the first and second numbers are the rear 2 numbers of the western year of the produced date; the third and fourth numbers are the month of the produced date; the fifth to seventh numbers are serial numbers]

An example:



M00000

Batch No. 2007001

or



M00000

Batch No. 2007001

- (4) Inspection method:
  - a. When the Obligatory Inspection Applicants apply for inspection according to the Regulations Governing Monitoring Inspection, they should attach photos of the physical Chinese labelling or related information such as pre-produced Chinese marking samples or patterns for the sampled batch of toys or at least one toy when the batch is not sampled. All product names should be registered in the name column of the inspection application form in the automated system of the BSMI, accompanied by relevant electronic files. Paper applications may be processed with attached forms. Failure to comply with the aforementioned requirements will result in refusal of acceptance. The Obligatory Inspection Applicant is requested to reapply after making the necessary corrections.
  - b. For toys applied for inspection by the same Obligatory Inspection Applicant, if ten consecutive batches are examined under the batch-by-batch examination and meet the requirements, the inspection method will be changed to random-selected batch

inspection with a probability of 50% for each batch. After another ten consecutive batches pass inspection, the inspection method will be further adjusted to random-selected batch inspection with a probability of 20% for each batch.

- c. If a randomly selected batch is found to be non-compliant, the inspection method for subsequent goods applied for inspection by the same Obligatory Inspection Applicant will be changed to batch-by-batch examination for ten consecutive batches of inspections. When all of the ten batches of goods for inspection meet the requirements, Item b. of Subparagraph (4) of Paragraph 5 will apply to the subsequent batches.
- d. The sampling rate of the randomly selected batch is as follows: for batch with less than 100 items, one item shall be randomly sampled for every five items, with a minimum of one item and a maximum of five items; for batch exceeding 100 items, one additional item should be randomly sampled for every additional twenty items. Two samples (sets) should be taken for each item. However, the sampling rate and quantity may be adjusted as needed based on actual circumstances.
- e. For batch-by-batch examination and random-selected batch inspection, only key inspection items within the selected batch are inspected. Other inspection items outside the key inspection scope will be inspected by the BSMI's surveillance plan.
- f. When there is a type test report which demonstrates that all items listed in the inspection application of the selected batch under batch-by-batch examination and random-selected batch inspection are compliant, sampling and inspection can be exempted. Only items like packaging, exterior appearance and labelling shall be on-site verified. The type test report shall be issued by the BSMI-designated testing laboratory for toys (hereinafter referred to as the "designated testing laboratory") and such report shall be for the same type of toys inspected and issued within 12 months prior to the application for inspection. However, if there are safety concerns, samples can be taken for inspection.
- g. For the batches not selected under the random-selected batch inspection, it is necessary to check the computer database to confirm whether the inspected goods is applicable to the inspect method "release after document examination." A verification checklist will be generated by the automated system and the verification shall be conducted in the following manner:
  - (a) Labelling is verified at the possibility of 50%. Items of verification include exterior appearance, commodity inspection mark and Chinese label. Sampling for inspection may be exercised if necessary.
  - (b) For batches not verified, the procedure "release after document examination" shall apply. Items for document examination are specified in item a. of subparagraph (4) of Paragraph 5.
- h. For cases where the inspection result is non-compliant, and a re-inspection is required, the following procedures shall be followed

in addition to the Regulations Governing Disposition of  
Commodities Failing Inspection:

- (a) If the improvement plan for non-compliant products is to replace spare parts (accessories), a valid certificate proving that the replacement spare parts (accessories) meet the requirements shall be provided.
  - (b) For cases of re-inspection of non-compliant products, the sampling rate and inspection items shall be handled according to subparagraph item d. and item e. of subparagraph (4) of Paragraph 5. However, items that have failed to pass the inspection and are re-submitted for inspection after improvement shall be sampled and the sampling rate shall double.
  - (c) For cases of re-inspection, when the non-compliant parts of the products are approved by the BSMI to be destroyed, the item can be exempted from sampling.
- i. If the inspection result of sampling or monitoring program shows that a toy is found non-compliant with the inspection standards or the required conformity assessment procedures for the toy are not completed, the inspection method for subsequent goods applied for inspection by the same Obligatory Inspection Applicant will be changed to batch-by-batch examination for ten consecutive batches of inspections. When all of the ten batches of goods for inspection meet the requirements, item b. of subparagraph (4) of Paragraph 5 will apply to the subsequent batches.